Finance, AML & Compliance

Due Diligence for High-Risk Clients

Course Details

Price

£170.00

CPD Points

2.00

Length

2 hours (09.30-11.30)

Course Overview

This course is intended to provide OSINT tools and knowledge above and beyond compliance checks to help you fine tune your due diligence skills with a focus on high-risk clients, whether you are working in finance or any other profession which requires such skills.

Content

During this course topics covered will include:

- What is a high-risk client?
- What are the requirements for onboarding them?
- Compliance vs open-source research
- Open-Source Research: From fundamentals to a more sophisticated approach
- Effective tools: Gathering and Analysing Data

- An Overview of Human Source Intelligence: Why will it always be key?

Benefits

This training will give you an essential overview together with an understanding of what thorough enhanced due diligence entails, with a focus on high-risk clients.

We will explain what a high-risk client is, and what kind of checks and tools are available to corroborate the type of research generally done for more low-risk clients.

We will explain how compliance searches should be complemented by efficient OSINT tools for targeting searches. We will review techniques to cross-reference a surplus of information which can at times be overwhelming and misleading.

However, there are gaps in OSINT research that need to be compensated through human source intelligence. This entails on-the-ground, specialised access to sources to provide a full picture of who you are dealing with.

The overall aim is to provide you with a more nuanced approach to risk through various angles of due diligence, with the ultimate objective of helping your business to secure more contracts and clients

Next Steps

If you would like to book a place on this course please click on the **'Book Course'** button to the right of this page and login or register for a user account to complete your booking(s). Any queries please do not hesitate to contact us via **admin@gta.gg** or call us on 01481 225470. If no date is scheduled for this course at the present time please click on the **'Register Interest'** button and login or register for a user account so that we can add you to our course interest register. This register allows us to contact our tutors and finalise dates for a course as soon as we have a few people who have expressed their interest, so the more delegates who register their interest, the sooner we can schedule a particular course.

Course Tutor

Thalia El Chammah

Thalia is currently Senior Manager in Risk and Operations at Praxis Group. She formerly held prescribed roles as MLRO and MLCO for a portfolio of companies in the banking, trust, funds and securities sector whilst working with Aspida Group. Prior to that she headed the Client Onboarding team for the International Wealth Management, Private Banking at Credit Suisse, Guernsey. Thalia ran her own corporate intelligence businesses for 10 years. She was the CEO of Garm Ltd consulting with a diverse set of clients including private sector companies, financial institutions, governments and high-net-worth individuals. Her expertise includes business risk management in EMEA countries. Garm Ltd focused on enhanced source-based investigations, risk-assessments and forensic services through a

global network providing on-the-ground insights in these regions. Thalia started her career at K2 Intelligence, where she conducted fraud and corruption investigations and carried out due diligence and asset tracing in the Gulf and MENA regions. Prior to founding Garm, Thalia was a partner at another London-based intelligence provider where she founded the French and Middle-East practice and led the Africa team. Thalia received her MA in International Affairs from the Institut d'Etudes Politiques (Sciences Po) Paris, and Columbia University, New York. She holds a BA (First Class Honours) in European Studies from King's College London and was recently awarded a Distinction in the ICA International Diploma in Governance, Risk and Compliance.