Director Development, AML & Compliance, Trust

Regulatory & Governance for a Fiduciary Director

Course Details

Price

£235.00

Start date

20 January 2027

Length

Half day (09:30-12:30)

CPD Points

3.00

Course Overview

For those working towards a directorship position, new to the role or as an annual refresher for experienced directors of Guernsey Fiduciary businesses. This course will cover Fiduciary specific regulatory requirements and expectations as well as duties and responsibilities and corporate governance for directors. A tailored and specific course designed for Fiduciary Boards.

Content

Topics covered will include:

- Regulatory Requirements
- Fiduciary and Pension Rules
- Cyber Security

- Key AML/CFT Documents
- Compliance & Directors
- Risk Appetite Statements
- Business Risk Assessments
- CMPs
- GFSC PRISM and RMP's
- Financial Penalties
- Enforcement
- Board Meetings
- Duties & Responsibilities
- Corporate Governance

Benefits

Course benefits include:

- A thorough understanding of regulatory, compliance and risk requirements
- Current regulatory themes and learnings from enforcement
- An understanding of responsibilities and risks
- Be equipped to meet statutory and governance requirements

Next Steps

If you would like to book a place on this course please click on the **'Book Course'** button to the right of this page and login or register for a user account to complete your booking(s). Any queries please do not hesitate to contact us via **admin@gta.gg** or call us on 01481 224570.

If no date is scheduled for this course at the present time please click on the **'Register Interest'** button and login or register for a user account so that we can add you to our course interest register. This register allows us to contact our tutors and finalise dates for a course as soon as we have a few people who have expressed their interest, so the more delegates who register their interest, the sooner we can schedule a particular course.

Course Tutor

Mel Torode

Mel Torode has over 20 years' experience in the fund administration industry in Guernsey specifically including private equity, property and mezzanine debt. Mel is an Independent Non-Executive Director with a portfolio of listed and private fund clients and is a director and founder of the Advisory Services Group, an independent business providing compliance, risk and regulatory, company secretarial, corporate governance, consultancy and training services to the regulated financial services industry, as well as the wider business community, public sector and start-ups, in the Channel Islands. During her career to date, Mel has worked at all levels of fund administration, from trainee to Managing Director, has founded, successfully grown and sold her own fund administration business, has worked in all sizes of company from 2 people to 100 people, has been part of owner-managed and large global operations and has established a varied portfolio of clients as a NED. Alongside administration, company secretarial and leadership positions, Mel has held roles as Compliance Officer and MLRO, as well as focusing consistently on corporate governance during her career.